

Docket No. 240908US0DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Klemens MATHAUER, et al.

SERIAL NO: New Application

GAU:

FILED: HEREWITH

EXAMINER:

FOR: METHOD FOR PRODUCING AQUEOUS POLYMER DISPERSIONS CONTAINING COLORANTS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicants wish to make of record the references listed on the attached form PTO-1449. All of the references were previously cited in the Search Report or on forms PTO-1449 in parent U.S. Application No. 09/600,868, allowed, filed August 9, 2000.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

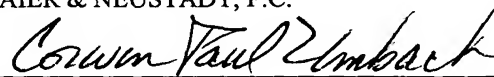
- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Norman F. Oblon

Registration No. 24,618



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Corwin P. Umbach, Ph.D.
Registration No. 40,211

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 240908US0DIV		SERIAL NO. NEW APPLICATION	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Klemens MATHAUER,, et al..			
				FILING DATE HEREWITH		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	AA	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,607,864	03/04/1997	F. RICCHIERO, et al.			
	AB	5,710,197	01/20/1998	W. FISCHER, et al.			
	AC	5,151,516	09/29/1992	K. H. BECK, et al.			
	AD	4,809,954	03/07/1989	R. W. DELWIG, et al.			
	AE	5,280,121	01/18/1994	S. HARI			
	AF	5,108,654	04/28/1992	V. RAGAINI			
	AG	4,269,749	05/26/1981	R. C. MARRIOTT, et al.			
	AH	5,897,811	04/27/1999	P. M. LESKO			
	AI	5,942,560	08/24/1999	H. IDOGAWA,, et al.			
	AJ	5,990,221	11/23/1999	B. DAMES, et al.			
	AK	4,667,036	05/19/1987	R. IDEN, et al.			
	AL	4,680,332	07/14/1987	M. HAIR, et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AM	EP 566 448	10/20/1993	EPO (corresponds with AA)			
	AN	DE 44 24 817	01/20/1998	GERMANY (corresponds with AB)			
	AO	EP 422 535	09/29/1992	EPO (corresponds with AC)			
	AP	EP 238 436	03/07/1989	EPO (corresponds with AD)			
	AQ	EP 465 410	01/08/1992	EPO (corresponds with AE)			
	AR	DE 197 56 874	06/24/1999	GERMANY			
	AS	DE 196 28 143	12/12/2000	GERMANY (corresponds with AT)			
	AT	WO 98/02466	07/12/1996	WIPO			
	AU	DE 195 21 500	06/05/1996	GERMANY			
	AV	EP 691 390	01/10/1996	EPO			
	AW	DE 44 36 892	10/15/1994	GERMANY			
	AX	WO 97/22607	06/26/1997	WIPO			
	AY	WO 96/22331	07/25/1996	WIPO			
	AZ	WO 96/22332	07/25/1996	WIPO			
	AAA	GB 2 250 930	06/24/1992	GREAT BRITAIN			
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AAB	K SONOGASHIRA, et al., Tetrahedron Letters, No. 50, pp. 4467-4470, "A CONVENIENT SYNTHESIS OF ACETYLENES: CATALYTIC SUBSTITUTION OF ACETYLENIC HYDROGEN WITH BROMOALKENES, IODOARENES, AND BROMOPYRIDINES", 1975.					
	AAC	P. L. TANG, et al., Journal of Applied Polymer Science, Vol. 43, pp. 1059-1066, "MINIEMULSION POLYMERIZATION - A COMPARATIVE STUDY OF PREPARATIVE VARIABLES", 1991.					
	AAD	A. R. KATRITZKY, et al., Macromolecules, Vol. 22, No. 8, pp. 3495-3496 (XP002114230), "3-VINYLPERYLENE: A POWERFUL RADICAL TRAP", 1989.					
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
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LIST OF REFERENCES CITED BY APPLICANT

APPLICANT
Klemens MATHAUER, et al.FILING DATE
HEREWITH

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	BA						
	BB						
	BC						
	BD						
	BE						
	BF						
	BG						
	BH						
	BI						
	BJ						
	BK						
	BL						
	BM						
	BN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	BO	EP 0 808 855	11/26/1997	EPO		
	BP	EP 0 105 057	04/11/1984	EPO		
	BQ	WO 96 22005	07/18/1996	WIPO		
	BR	DE 197 38 145	03/05/1998	GERMANY		
	BS	DE 44 24 817	01/18/1996	GERMANY		
	BT	EP 0 818 471	01/14/1998	EPO		
	BU					
	BV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	BW	H. KAMOGAWA, Chemical Abstracts, Vol. 77, No. 8, pp. 1345-1351 (XP002114231), "SYNTHESES OF VINYL POLYMERS CONTAINING A LARGE pi-ELECTRON CLOUD IN THE SIDE CHAIN", 1972.				
	BX					
	BY					
	BZ					<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.